

2006-2007 Troy Consolidated Plan Application Form

1. Project Title: _____

2. Project Summary: (Provide a BRIEF synopsis, one to five sentences. One sentence or a short bulleted list should be sufficient for most projects.)

3. Sponsoring Organization(s) (If it is a consortium, please name the main organization first):

4. Type of Applicant (check all that apply):

_____ Not-for-profit Corporation _____ Municipal Department _____ Consortium
_____ For-profit Corporation _____ Neighborhood Group/Association

5. Sponsoring Agency Federal Employer ID Number (if applicable): _____

6. Mailing Address (of main organization): _____

7. Executive Officer (of main organization): _____ Phone: _____

8. Contact Person for Application: _____ Phone: _____

9. Project Administrator: _____ Phone: _____

10. Email Address of project administrator: _____

11. Grant Request: \$ _____

12. Funding Source Preference (check all that apply): _____ CDBG _____ ESG _____ HOME

13. Which Consolidated Plan Outcome(s) best describe your project? Check all that apply:

_____ Suitable Living Environment/Neighborhood Improvement (physical improvements such as parks, streets, demolition, crime reduction, etc.)
_____ Decent Housing (housing renovation, homeless self-sufficiency programs, etc.)
_____ Expanded Economic Opportunities (education, job training, business development, day care for working poor).

14. If your project is requesting CDBG funds, what is its National Objective(s)? Check all that apply:

_____ LMA - Low/Moderate Income Area Benefit (physical improvements, public facility construction / rehabilitation)
_____ LMC - Low/Moderate Income Limited Clientele (daycare, education, job training, services for individual clients)
_____ LMH - Low/Moderate Income Housing (creation or renovation of housing for low/moderate income people)
_____ LMJ - Low/Moderate Income Job Creation/Retention (job training or assistance to businesses hiring LMI people)
_____ SBS - Slum/Blight Amelioration (demolition, environmental cleanup, especially on vacant properties)
_____ Not Applicable (ESG, HOME, or administrative project)

15. Project Description: Please use the following page to offer a complete description of your project. Include whatever information you feel is relevant including expected long-term results of the activity. You may, if you wish, skip information that is called for in the following questions.

Please do not feel compelled to use the entire page if unnecessary. Projects that are easier to describe are not necessarily inferior to more complex projects, or vice versa.

Please answer the following questions using your choice of two methods: write the answer after each question (inserting space between the questions if filling out the application on your computer), or attach additional sheets of paper (put the appropriate number next to each question).

16. What is the target population of your project?

17. At what location(s) will the project take place?

18. What geographic areas of the city will benefit from the project?

- If it is a public service project, state the area(s) where your clients live, not the location of your organization. "Entire city" is a valid response, but requires an explanation. Also, note if any of your clientele lives outside of Troy.
- Also remember to locate the areas of benefit on Appendix C (census tract map).

19. Describe the project's most notable past accomplishments (and specify their timeframes). Feel free to include accomplishments that were not CDBG/ESG/HOME funded. Write "not applicable" if the project does not yet exist.

20. Describe your organization(s)'s other previous accomplishments, in projects other than the one discussed in this application. Write "not applicable" if your organization executes no other projects.

21. If your organization(s) fell short of its goals, was there an unusual reason?

22. Does your project or organization(s) have any attributes which in your opinion render your project more qualified than similar projects that may be seeking funding?

23. Project Results: Ideally, what will be the results of the project? Please specify how your project will benefit the city of Troy or its residents, especially low/mod residents. For public service programs, indicate the approximate number of customers who will benefit, how they are identified, and how they will benefit over the long term. For physical improvement projects, indicate the number of affected buildings, parks, blocks, etc. Your outcome(s) should at least roughly (not necessarily completely) match a performance target(s) stated in the RFP. If it does not match a listed performance target in the RFP, explain why you think your performance target(s) is important.

24. Accomplishments: Describe all the small steps that your organization must complete in order to achieve its performance targets. Please indicate the timeframe of each accomplishment: when it is expected to occur, and/or when it is expected to be completed (unless it is a continuous action, such as "Tutor children in math", which is expected to occur every day, or nearly every day).

25. Verification Methods: How will you determine whether you have achieved your performance **results**, accomplishments, and/or milestones? Bear in mind that all funded projects must submit quarterly progress reports to the City, as well as a larger annual progress report (CAPER) shortly after the end of the program year.

26. Is this a one-time request, or do you expect to request Consolidated Plan funding for this project in subsequent years? (Write "no" if your organization expects to request funding for a different project but not for this specific project.) Estimate the project's need for funding in subsequent years and provide a phase-out plan, if possible.

27. Provide a list of other funding sources requested for this project. Indicate the status of these funds (cash on hand, grant received, pending, conditional reservation of funds, fundraising planned, etc.).

Attachments: Please attach the following documents to your application.

- Appendix A (if LMC project or other project with individual clients)
- Appendix C (all projects - locate the project and the project's service area on the Census Tract Map.)
- Appendix D or E (D if construction/rehabilitation project, E if non-construction project. Some projects may need both.)
- Appendix F (all projects)
- Additional budget / financial analysis of project. (OPTIONAL) Such budgets may be substituted for Appendix D or E, if they contain a sufficient level of detail, including funding sources other than Consolidated Plan.)
- Most recent annual financial statement or report of your organization (all projects)
- (Smaller agencies, which have no financial holdings, and are therefore exempt from this requirement, should submit a short (one page) statement documenting this fact.)
- If a cost analysis has been completed by an architect, engineer or other personnel, attach a copy, noting the name of the preparer and the date. (optional)
- Copies of funding commitment letters or other evidence of interest from other funding sources (all projects)

Note: Appendix B does not currently exist at this time. The former Appendices A and B have been merged into an updated Appendix A.

Please contact Viestarts Zubkovs at 270-4623 if you have any questions.

APPLICATION APPENDIX A

(Required for LMC projects, LMH projects, LMJ projects, or other projects where the identities of individual clients are known.)

Program Year: 2006-07

Name of Activity: _____

Please fill out at least **one** of the columns below: the **individuals** column or the **households** column. Of course, you are quite welcome to fill out both columns, if you have the data.

If you are filling out the **individuals** column, please use the income for a person's whole **household** in making your calculations. For instance, if an individual beneficiary lives in a three-person household, you must use row C, **not** row A, to determine if s/he is low or low/moderate income.

Total number of beneficiaries: Individuals _____ Households _____

Number of **low/moderate** income beneficiaries: Individuals _____ Households _____
(household is \leq 80% of area median income)

Number of **low** income people: Individuals _____ Households _____
(household is \leq 50% of area median income)

Number of **very low income** (impoverished) people: Individuals _____ Households _____
(household is \leq 30% of area median income)

Time period (to which the aforementioned numbers apply): _____
(Please use the most recent year for which you have such data.)

	Household	Very Low Income	Low Income	Low/Moderate Income
A	1 person	\$ 0 - \$ 13,350	\$ 0 - \$ 22,200	\$ 0 - \$ 35,550
B	2 people	\$ 0 - \$ 15,250	\$ 0 - \$ 25,400	\$ 0 - \$ 40,650
C	3 people	\$ 0 - \$ 17,150	\$ 0 - \$ 28,550	\$ 0 - \$ 45,700
D	4 people	\$ 0 - \$ 19,050	\$ 0 - \$ 31,750	\$ 0 - \$ 50,800
E	5 people	\$ 0 - \$ 20,550	\$ 0 - \$ 34,250	\$ 0 - \$ 54,850
F	6 people	\$ 0 - \$ 22,100	\$ 0 - \$ 36,800	\$ 0 - \$ 58,950
G	7 people	\$ 0 - \$ 23,600	\$ 0 - \$ 39,350	\$ 0 - \$ 63,000
H	\geq 8 people	\$ 0 - \$ 25,150	\$ 0 - \$ 41,900	\$ 0 - \$ 67,050

(Data published January 2005)

APPENDIX D: DEVELOPMENT BUDGET

Project: _____

Program Year: 2006-2007

Activity	CDBG / ESG / HOME	Other Funding Sources & Amounts	Total
A. PRECONSTRUCTION			
1. Legal			
2. Architectural			
3. Permits & Fees			
4. Engineering			
5. Other			
TOTAL PRECONSTRUCTION COSTS			
B. DEVELOPMENT			
1. Site Preparation			
2. Relocation			
3. Construction			
4. Construction Finance Charges			
5. Insurance			
6. Other			
TOTAL DEVELOPMENT COSTS			
TOTAL PROJECT COSTS			

APPENDIX E: PROGRAM OPERATING BUDGET

Project: _____

Program Year: 2006-2007

Activity	CDBG / ESG / HOME	Other Funding Sources & Amounts	Total
A. PERSONNEL			
1. Salaries <i>(List positions)</i>			
2. Fringe benefits <i>(List positions & types of benefits)</i>			
TOTAL PERSONNEL COSTS			
B. NON-PERSONNEL <i>(List types of costs)</i> <i>(Consultants salaries are considered non-personnel)</i>			
TOTAL NON-PERSONNEL COSTS			
TOTAL PROJECT COSTS			

The following definitions are provided to help you prepare Appendix E.

A. Personnel Costs

1. Salaries: Salaries of staff employed under the agreement must be listed out by identifying each position that is to be partially or wholly reimbursed by Consolidated Plan funding. As noted above a Cost Allocation Plan and signed time report forms **must** be prepared for all staff positions that will be reimbursed by Consolidated Plan funds.

2. Fringe Benefits: Fringe benefits include reasonable health insurance, the employer's portion of social security, worker's compensation, unemployment, disability insurance, and other insurance programs provided by the subrecipient organization to its employees.

B. Non-Personnel Costs

1. Consultants: Individuals, institutions, and organizations external to the subrecipient organization, which have entered into agreements with the subrecipient. Stipends paid to interns also fall under this category. In accordance with OMB Circular A122, consultants and subcontractors shall be paid a specific hourly rate that includes all fringe benefits, and the applicant organization must keep written time reporting documentation. Written agreements **must** document the specific terms of this type of arrangement.

2. Equipment: Large and/or expensive physical commodities necessary for project execution. Equipment may be either purchased or leased, according to which option is more economical, in accordance with the terms of CDBG and ESG regulations.

3. Supplies: Small, inexpensive, and/or consumable physical commodities (such as standard office supplies, or refreshments for child care beneficiaries) necessary for project execution.

4. Space: Rent, utilities, mortgage, maintenance

5. Communications: Telephone, fax, Internet

6. Program Marketing/Advertising

7. Other Non-Personnel Costs: List items not included under any other category, and the quantity (if applicable) of each item.

APPENDIX F: BUDGET REQUEST JUSTIFICATION

Project: _____

Agency: _____ **Program Year:** 2006-2007

Please provide a brief narrative justification for each item for which funds are being requested, including staffing as well as non-personnel related expenditures.